



UNITED STATES PATENT AND TRADEMARK OFFICE

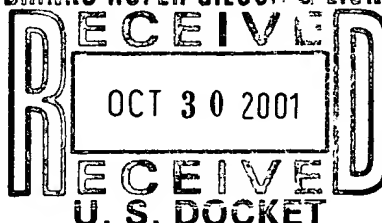
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,267	09/18/2001	Berry Freel	6340/16

CONFIRMATION NO. 8699

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610

BRINKS HOFER GILSON & LIONE FORMALITIES LETTER



Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/08/2002 JADD01 00000045 09955267

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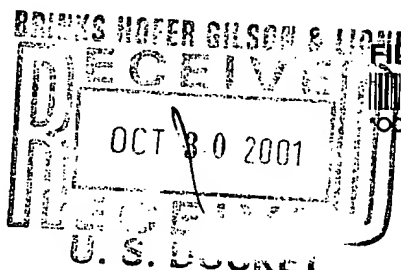


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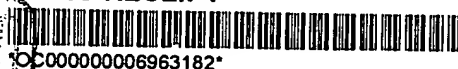
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/955,267	09/18/2000	1764	395	6340/16	5	5	4

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610



CONFIRMATION NO. 8699

FILING RECEIPT



\*000000006963182\*

Date Mailed: 10/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

✓ BARRY  
Berry Freel, Residence Not Provided;  
Robert Graham, Residence Not Provided;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/233,354 09/18/2000

Foreign Applications

If Required, Foreign Filing License Granted 10/24/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

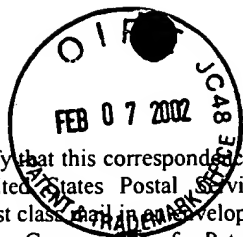
\*\* SMALL ENTITY \*\*

Title

Products produced form rapid thermal processing of heavy hydrocarbon feedstocks

Preliminary Class

208



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231

on Jan. 24, 2002

Date of Deposit

Marc V. Richards, Reg. No. 37,921

Name of applicant, assignee or  
Registered Representative

*Marc V. Richards*

Signature

1/24/02

Date of Signature

Our Case No. 6340/16

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Barry Freel et al.

Serial No. 09/955,267

Filing Date: September 18, 2001

For Products Produced from Rapid  
Thermal Processing of Heavy  
Hydrocarbon Feedstocks

Examiner N/A

Group Art Unit No. 1764

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Processing Division  
Customer Correction Branch

Sir:

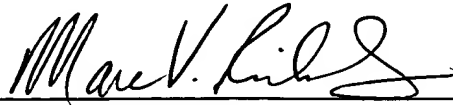
Applicant requests the issuance of a corrected filing receipt (copy enclosed)  
for the above-referenced patent application, and in support of this request  
respectfully states:

Under "Applicants"

Change first name of Inventor Freel from "Berry" to --Barry--

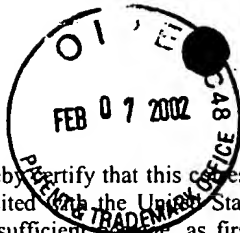
The Commissioner is hereby authorized to charge any fees required to  
Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Marc V. Richards", is written over a horizontal line.

Marc V. Richards  
Registration No. 37,921  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200



#3

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In re Application of:

Barry Freel et al.

Serial No.: 09/955,267

Filed: September 18, 2001

For: Products Produced from  
Rapid Thermal Processing  
of Heavy Hydrocarbon  
Feedstocks

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated October 24, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:

☒ a small entity, verified statement is:

☐ attached

☒ already filed

☐ other than small entity

☒ Checks totaling \$65 for:

☐ Filing Fee of \$\_\_\_\_.

☒ Surcharge of \$65.

☐ Additional Claim Fees of \$\_\_\_\_.

☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).

☒ Other: Preliminary Amendment; Information Disclosure Statement; Form PTO-1449; 76 cited references; Request for Correction of Filing Receipt; copy of corrected Filing Receipt; check for \$55 for one-month extension; and return post card.

☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: \_\_\_\_\_

1/24/02



Marc V. Richards

Registration No. 37,921

Attorney for Applicant(s)

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P.O. BOX 10395  
CHICAGO, IL 60610  
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